SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Cortez Bowling.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission* v. *Cortez Bowling*, Case No. 19-021;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on September 23, 2019, the Commission alleged facts in an Initiating Order that Cortez Bowling violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c), and (d).

WHEREAS, Cortez Bowling was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Cortez Bowling indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Cortez Bowling agree, pursuant to KRS 11A.100, as follows:

- 1. The Commission agrees to combine count I and count III into one count, and combine count II and count IV into one count resulting in two counts rather than four counts;
- 2. Cortez Bowling admits that he committed two Counts in violation of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c), and (d) as stated in the Appendix A to the Commission's Initiating Order of September 23, 2019, attached hereto and incorporated by reference herein.
- 3. Cortez Bowling agrees to pay the Commission a civil penalty of two thousand dollars (\$2,000.00) on or before January 16, 2021, with one thousand dollars (\$1,000.00) due on or before March 30, 20**1**0. If Cortez Bowling fails to make payment in full by the deadline, then Mr. Bowling agrees to be responsible for the full civil penalty allowable pursuant to KRS 11A.100(3)(e) of five thousand dollars (\$5,000) per count for a total amount owing of ten thousand dollars (\$10,000), which will be reduced by any payments Mr. Bowling has made prior to the deadline.
- 4. Cortez Bowling agrees that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

- 5. The parties further agree that the acceptance of this Settlement Agreement and Agreed Final Order by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Cortez Bowling*, Case No. 19-021.
- 6. This Settlement Agreement constitutes a public reprimand to Cortez Bowling, a copy of which will be provided to his appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

C-43.

Cortez Bowling	Date Date
EXECUTIVE BRANCH ETHICS COMMISSION:	
Christopher Thacker, Chair	1-16-200 Date
Christopher Brooker, Vice-Chair	1-16-2020 Date
April Wimberg, Member	1-16-2020 Date
Holly Jacober Holly Iaccarino, Member	1-16-2020 Date
Kyle Winslow, Member	1-16-2020 Date